

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to:

"Assistant Commissioner for Patents  
Washington, D.C. 20231"

on July 26, 2001

Kevin J. Stein  
KEVIN J. STEIN  
Reg. No. 47,966  
Attorney for Applicant(s)

07/26/01  
Date of  
Signature

**PATENT**

#Y2-0119-UNI  
Case #J3511(C)

RECEIVED  
1616  
AUG 8 3 2001  
TECH CENTER 1690/2900

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Landa et al.  
Serial No.: 09/764,829  
Filed: January 17, 2001  
For: ANTIMICROBIAL ANTIPERSPIRANT PRODUCTS

Edgewater, New Jersey 07020  
July 26, 2001

**SUBMISSION OF PRIORITY DOCUMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to rule 55(b) of the Rules of Practice in Patent Cases, Applicant(s) is/are submitting herewith a certified copy of the United Kingdom Application No. 0001131.2 filed January 18, 2000; and the United Kingdom Application No. 0001130.4 filed January 18, 2000, upon which the claim for priority under 35 U.S.C. § 119 was made in the United States.

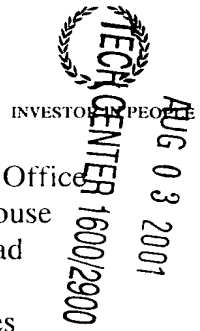
It is respectfully requested that the priority document be made part of the file history.

Respectfully submitted,

Kevin J. Stein  
Kevin J. Stein  
Reg. No. 47,966  
Attorney for Applicant(s)

KJS/mt  
(201) 840-2394





RECEIVED

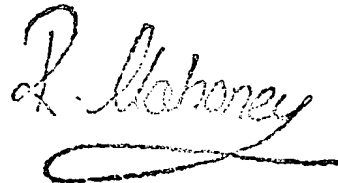
The Patent Office  
Concept House  
Cardiff Road  
Newport  
South Wales  
NP10 8QQ

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.



Signed

Dated 11 January 2001





The  
Patent  
Office

Patents Act 1977  
(Rule 16)

19JAN00 E506234-7 002898  
POL/7700 0.00-0001131.2

The Patent Office

# Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

Cardiff Road  
Newport  
Gwent NP10 8QQ

1. Your reference J3511(C)/TC

2. Patent application number  
(The Patent Office will fill in this part)

18 JAN 2000

0001131.2

3. Full name, address and postcode of the or of each applicant (underline all surnames)

UNILEVER PLC  
UNILEVER HOUSE, BLACKFRIARS  
LONDON, EC4P 4BQ

Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

UNITED KINGDOM

1628001

4. Title of the invention

ANTI-MICROBIAL ANTIPERSPIRANT PRODUCTS

5. Name of your agent (if you have one)

ELLIOTT, Peter William

"Address for Service" in the United Kingdom to which all correspondence should be sent (including the postcode)

PATENT DEPARTMENT, UNILEVER PLC  
COLWORTH HOUSE, SHARNBROOK  
BEDFORD, MK44 1LQ

Patents ADP number (if you know it)

7/7/62200

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country	Priority application number (if you know it)	Date of filing (day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application	Date of filing (day/month/year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

YES

- a) any applicant named in part 3 is not an inventor, or
- b) there is an inventor who is not named as an applicant, or
- c) any named applicant is a corporate body. See note (d))



9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form

Description	25
Claim(s)	2
Abstract	
Drawing(s)	

10. If you are also filing any of the following, state how many against each item.

Priority Documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (*Patents Form 7/77*)

Request for preliminary examination and search (*Patents Form 9/77*) 1

Request for substantive examination (*Patents Form 10/77*)

Any other documents  
(please specify)

11. I/We request the grant of a patent on the basis of this application.

Signature(s)  Date: 18/01/00  
Sandra Jane EDWARDS, Authorised Signatory

12. Name and daytime telephone number of person to contact in the United Kingdom Trudi Clark, Tel 01234 22 2360

Warning

*After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.*

Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.
- Write your answers in capital letters using black ink or you may type them.
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- Once you have filled in the form you must remember to sign and date it.
- For details of the fee and ways to pay please contact the Patent Office.

Anti-microbial Antiperspirant ProductsField of Invention

5

This invention relates to the field of anti-microbial compositions and to methods of reducing microbial numbers. In particular, this invention is concerned with reducing microbial numbers upon the surface of the human body and thereby reducing body odour. The compositions and methods involved utilise a transition metal chelator together with an antiperspirant active. When used on the human body, the compositions and methods of the invention are of greatest benefit when used on the most malodorous areas of the body, for example the underarm areas or feet.

Background

20 Typically, a deodorising composition will attempt to significantly reduce or prevent body odour by reducing either perspiration or the number of viable micro-organisms on the body surface as represented herein by skin. The former is usually referred to as an antiperspirant composition and the latter a deodorant. Other compositions attempt to mask body malodours using perfumes.

Compositions reducing perspiration often comprise a metal salt, such as an aluminium or zirconium salt, which blocks the sweat pores. This method is very simple, yet perspiration is rarely reduced by more than 50%.

Deodorants, on the other hand, reduce the numbers of viable micro-organisms on the surface of the skin. It is well known that sweat is usually odourless until it has been

degraded by the skin microflora. Typical deodorants include ethanol and triclosan (2',4,4'-trichloro,2-hydroxy-diphenyl ether) which is a well known anti-microbial agent. However, the deodorising effect obtained with such deodorants wears  
5 off with the passage of time and the microflora progressively recover their numbers.

There is, therefore, a continuing requirement for effective and long lasting antiperspirant deodorant compositions for  
10 the market. The problem to be solved is not simply reducing sweating and initial microbial numbers on the body surface; equally important is maintaining low microbial numbers (particularly low bacterial numbers) on the body surface (particularly in the most malodorous areas, eg. the axilla).

15 Transition metal chelators have previously been incorporated into antiperspirant deodorant compositions as formulation aids. US 5,516,511 (Procter and Gamble Co.) discloses particular antiperspirant gel compositions in which  
20 chelators are used during manufacture to prevent reaction between the active and the primary gellant, the latter component comprising 12-hydroxystearic acid or a derivative thereof. US 5,849,276 (Procter and Gamble Co.) mentions chelators in antiperspirant stick compositions, although  
25 such materials are stated to be optional "non-active" components. The gellants exemplified in this patent are again 12-hydroxystearic acid and derivatives thereof, and also N-lauroyl-glutamic acid dibutyl amide and 2-dodecyl-N.N'-dibutyl-succinamide.

30 Transition metal chelators have also been disclosed in simple deodorant compositions, that is to say, deodorant compositions excluding antiperspirant actives. US 4,356,190  
discloses the use of selected aminopolycarboxylic acid  
35 compounds for inhibiting malodour formation; WO 97/01360



(Concat Ltd.) claims a method of inhibiting bacterial growth using particular substituted polyaza compounds that show affinity for first transition series elements; WO 97/44006 (Ciba Speciality Chemicals Holding, Inc.) claims the use of  
5 nitrogen-containing complexing agents for the anti-microbial treatment of the skin and of textile fibre materials; and WO 97/02010 discloses the use of chelators selected from the succinic acid, glutaric acid, and phosphonic acid classes as bactericidal compounds.

10

Other patents indicate that transition metal chelators can improve the efficacy of specific known anti-microbials. WO 98/12399 (Public Health Research Institute of the City of  
15 New York) discloses improved performance of lanthionine-

15

containing bacteriocins in compositions also comprising a transition metal chelator. WO 97/09974 (Laboratoire Medix) discloses compositions comprising chlorhexidine and a  
20 chelator. EP 0019670 B1 (Glyco Chemicals, Inc.) discloses anti-microbial compositions comprising a condensation

20

product of 5,5-dimethyl hydantoin and formaldehyde in combination with a water-soluble chelating agent selected from ethylenediaminetetraacetic acid (EDTA),  
25 diethylenetriaminepentaacetic acid (DTPA) or the alkali metal salts thereof. US 4,199,602 (Economics Laboratory,

25

Inc.) discloses the potentiation of anti-microbial nitroalkanes by aminocarboxylic-type chelating agents. US  
30 5,688,516 (University of Texas System et al) discloses compositions comprising non-glycopeptide anti-microbials

30

(other than vancomycin) in combination with a selection of components, including a chelating agent. WO 99/10017  
(University of Texas System et al) discloses a method for  
controlling the growth of micro-organisms using a chelating agent and an anti-microbial agent. GB 1,420,946 (Beecham  
Group Ltd.) discloses that the activity of selected phenolic

anti-microbials can be vastly increased by certain chelating agents, in particular the disodium salt of EDTA.

5 Summary of the Invention

It has been discovered that the combined use of an antiperspirant active and a transition metal chelator gives surprisingly good and long-lasting anti-microbial benefits.  
10 When such treatment is applied to the human body, highly effective malodour control results. An important function of the antiperspirant active is to reduce initial microbial numbers on the surface being treated, whilst the transition metal chelator functions to augment the maintenance of low  
15 microbial numbers. Surprisingly, it has been found that the two components can be used together without detrimental interactions affecting either the performance of the components or the stability of compositions containing both the components. On application to the human body,  
20 additional hygiene and malodour control derive from the antiperspirancy benefit also delivered.

According to a first aspect of the present invention there is provided an anti-microbial product comprising an  
25 antiperspirant active and a transition metal chelator, excluding antiperspirant gel stick compositions gelled by a gelling agent selected from the group consisting of 12-hydroxystearic acid, esters of 12-hydroxystearic acid, amides of 12-hydroxystearic acid, N-lauroyl-glutamic acid  
30 dibutyl amide, and 2-dodecyl-N.N'-dibutyl-succinamide.

According to a second aspect of the present invention, there is provided a method of controlling microbial numbers, said method comprising the application to a substrate of a  
35 product comprising an antiperspirant active and a transition

metal chelator, but excluding the application of antiperspirant gel stick compositions comprising a gelling agent selected from the group consisting of 12-hydroxystearic acid, esters of 12-hydroxystearic acid, amides of 12-hydroxystearic acid, N-lauroyl-glutamic acid dibutyl amide and 2-dodecyl-N.N'-dibutyl-succinamide. A particular application of this aspect of the invention is the control of microbial numbers on the surface of the human body, for example skin which is representative of an external surface populated by microorganisms which generate odour from body secretions and the resulting control of body odour. This particular application also provides a method for reducing perspiration and providing additional control of bacterial numbers on the body surface, eg skin surface.

According to a third aspect of the present invention, there is provided a method for the manufacture of an anti-microbial composition comprising the mixing of an antiperspirant active with a transition metal chelator, without the production of a gel stick composition resulting from the introduction of a gelling agent selected from the group consisting of 12-hydroxystearic acid, esters of 12-hydroxystearic acid, amides of 12-hydroxystearic acid, N-lauroyl-glutamic acid dibutyl amide and 2-dodecyl-N.N'-dibutyl-succinamide.

#### Detailed Description

The antiperspirant active and the transition metal chelator both function as effective anti-microbial agents in this invention. On application to the human body, the reduced perspiration benefit delivered by the antiperspirant active is also beneficial and further contributes to the deodorancy

benefit resulting from the anti-microbial performance of the components of the product. Without wishing to be bound by theory, it is hypothesised that after reduction of microbial numbers by the antiperspirant active, the transition metal  
5 chelator effectively inhibits the up-take of essential transition metal ion nutrients by the remaining microbes, thereby minimising their re-growth. Surprisingly, no detrimental interaction between the antiperspirant active and the transition metal chelator affects the overall anti-  
10 microbial and deodorancy benefit of the products of the invention.

It is not essential that the antiperspirant active and the chelator are part of the same composition. The anti-  
15 microbial benefit derived from use of the invention may be gained by independent application of the antiperspirant active and the chelator. Such application may be concurrent or consecutive, provided that the treated substrate experiences the presence of both components at the same  
20 time. When the components are applied from independent compositions, it is preferred that the product also comprises a means for, and/or instruction for, both of the compositions to be applied to the substrate requiring treatment.

25 It is preferred that the anti-microbial product of the invention comprises an antiperspirant active and a transition metal chelator that are both present in the same composition. The benefits found with such compositions can  
30 include good product aesthetics, lack of product separation, attainment of the desired rheology, visco-stability, good dispensing, and any combination of these benefits or others.

The method of controlling microbial numbers offered by the  
35 invention is particularly useful because the benefit can

extend for many hours, for example 5 hours, or 24 hours, or even longer, after application of the product to the substrate. When the substrate is the skin of the human body, this can result in an extended deodorancy benefit; that is to say, extended inhibition of generation of human body odour.

The antiperspirant active and the chelator may be present in the composition or compositions of the invention in any form. For example, either or both of the agents may be used neat or may be diluted with a volatile propellant and used as an aerosol; with an additional liquid and used, for example, as a roll-on or squeeze-spray product; or with a thickener or structurant and used, for example, as a cream, gel or solid stick product.

The anti-microbial product of the invention may be applied to the substrate requiring treatment by any means. Frequently, the substrate requiring treatment is a surface. Application of liquid compositions can be by absorption onto a carrier matrix like paper, fabric, or sponge and application by contacting said carrier matrix with the surface. Solid or semi-solid compositions can be applied by direct contact or can be dissolved or dispersed in a liquid medium prior to application. Application can also comprise a combination of any two or more of the above techniques.

#### Chelators

Preferred transition metal chelators have affinity for iron (III), preferably high affinity for iron (III); that is to say, a binding constant for iron (III) of greater than  $10^{10}$ , or, for optimum performance, greater than  $10^{26}$ . The 'iron (III) binding constant' referred to above is the absolute stability constant for the chelator-iron (III) complex.

Such values are independent of pH and are measured on the most anionic, fully deprotonated form of the chelator. Measurements can be made potentiometrically, and in a number of other ways. Full details of suitable methods can be found in "Determination and Use of Stability Constants", A. E. Martell and R. J. Motekaitis (VCH, New York, 1989). Tables of applicable values may be found in numerous sources, for example "Critical Stability Constants", R. M. Smith and A. E. Martell (Plenum Pub. Corp., 1977).

Preferred chelators are able to significantly inhibit the growth of a relevant micro-organism when present, in a medium containing said micro-organism, at a concentration of  $3 \times 10^{-6} \text{ mol.dm}^{-3}$  or less. Inhibition is considered significant when growth of the relevant micro-organism on a supporting medium can be reduced by at least 30%, preferably by at least 45%. When the surface to be treated is human skin, a relevant micro-organism is *Staphylococcus epidermidis* and chelators capable of achieving significant inhibition include diethylenetriaminepentaacetic acid (DTPA) and triethylenetetraaminehexaacetic acid (TTHA), but exclude ethylenediaminetetraacetic acid (EDTA) and trans-1,2-diaminocyclohexane-N,N,N',N'-tetraacetic acid (CDTA).

The chelator may be used in its acid form, but it may also be used as one of its salts.

The chelators used in the present invention preferably have acid forms with at least two ionisable acid groups. The acid groups are preferably carboxylic and/or phosphonic, but may be sulphonic or phosphinic, or any mixture of these groups.

Preferred chelators with phosphonic acid groups are, in the acid form, diethylenetriaminepenta(methylphosphonic) acid (DTPMP), ethanehydroxydiphosphonic acid (EHDP), ethylenediaminetetra(methylenephosphonic acid) (EDTMP), and  
5 hexamethylenediaminetetra(methylenephosphonic acid) (HMDTMP).

Particularly suitable chelators for use include polycarboxylate compounds, in particular  
10 aminopolycarboxylate compounds. The acid forms of the aminopolycarboxylate compounds include EDTA, CDTA, ethylenediaminedisuccinic acid (EDDS). More preferred aminopolycarboxylate chelators have the acid forms DTPA, TTHA, and ethylenebis[2-(2-hydroxyphenyl)glycine] (EDDHA).

15 The chelators or salts thereof preferably have only moderate molecular weight, by which it is meant that the chelators, in their acid forms, have a molecular weight of less than 1000, more preferably 200 to 800, and most preferably 290 to  
20 580, and in their salt form have a molecular weight of less than 2000, more preferably 300 to 1400, and most preferably 500 to 1000.

The chelator is preferably incorporated into a composition  
25 at a level of 0.01% to 10%, more preferably at a level of 0.05% to 5%, and most preferably at a level 0.3% to 3% by weight of the non-volatile components of the composition. Mixtures of chelator salts may also be used.

30 Herein, non-volatile components are those having a boiling point greater than 20°C at atmospheric pressure.

As already mentioned, the chelator may be used in its acid form or as one of its salts. Preferred salts, for certain  
35 applications, are monovalent alkali metal salts such as

sodium and potassium salts. For certain other applications, for example formulation in alcohol-based compositions, salts with organic counter-ions are preferred, for example protonated or quaternised amines. Salts formed using  
5 aliphatic amines are generally preferred to those formed from aromatic amines. A further preference is for protonated or quaternised amine cations possessing a C<sub>1</sub>-C<sub>10</sub> terminal hydrocarbyl group, wherein a hydrocarbyl group is a radical comprising solely carbon and hydrogen atoms. Such  
10 relatively hydrophobic organic counter-ions lead to particularly good compatibility between the chelator salt and the organic anti-microbial.

Preferred protonated or quaternised amine cations of the  
15 chelator salts are of formula  $R^1R^2R^3R^4N^{(+)}$ , wherein  $R^1$  is H or CH<sub>3</sub>;  $R^2$ ,  $R^3$ , and  $R^4$  are each independently H or an aliphatic or aromatic substituent containing 0 to 3 hydroxyl groups, optionally interrupted and/or substituted by functional groups such as ether, amine, ester, or amide;  
20 with the provisos that at least one of  $R^2$ ,  $R^3$ , or  $R^4$  comprises a C<sub>1</sub>-C<sub>10</sub> terminal hydrocarbyl group, optionally  $R^2$  and  $R^3$  together forming a ring as the terminal hydrocarbyl group, and that  $R^2$ ,  $R^3$ , and  $R^4$  are not all CH<sub>2</sub>CH(OH)CH<sub>3</sub> groups.

25 Particularly preferred chelator-amine salts are salts of 2-amino-2-methyl-1-propanol, cyclohexylamine, diisopropanolamine, or 2-amino-1-butanol.

30 Partial salts of chelator acids possessing more than one acidic group may also be employed; such salts retain one or more non-ionised acid groups. Also claimed are salts where



the cations are in part protonated or quaternised amines and in part some other cation, for example an alkali metal cation, in particular a sodium ion.

#### Antiperspirant Actives

5

Antiperspirant actives are preferably incorporated into a composition in an amount of from 0.5-60%, particularly from 5 to 30% or 40% and especially from 5 or 10% to 30 or 35% of the weight of the composition. The ratio of chelator and/or  
10 salt thereof to antiperspirant active is preferably 1:5 to 1:25 by weight.

Antiperspirant actives for use herein are often selected from astringent active salts, including in particular  
15 aluminium, zirconium and mixed aluminium/zirconium salts, including both inorganic salts, salts with organic anions and complexes. Preferred astringent salts include aluminium, zirconium and aluminium/zirconium halides and halohydrate salts, such as chlorohydrates.

20

Aluminium halohydrates are usually defined by the general formula  $Al_2(OH)_xQ_y \cdot wH_2O$  in which Q represents chlorine, bromine or iodine, x is variable from 2 to 5 and  $x + y = 6$  while  $wH_2O$  represents a variable amount of hydration.  
25 Especially effective aluminium halohydrate salts, known as activated aluminium chlorohydrates, are described in EP 006,739 (Unilever PLC and NV). Some activated salts do not retain their enhanced activity in the presence of water but are useful in substantially anhydrous formulations, i.e.  
30 formulations that do not contain a distinct aqueous phase.

Zirconium actives can usually be represented by the empirical general formula:  $ZrO(OH)_{2n-nz}B_z \cdot wH_2O$  in which z is

a variable in the range of from 0.9 to 2.0 so that the value  $2n-nz$  is zero or positive,  $n$  is the valency of B, and B is selected from the group consisting of chloride, other halide, sulphamate, sulphate and mixtures thereof. Possible hydration to a variable extent is represented by  $wH_2O$ . Preferable is that B represents chloride and the variable  $z$  lies in the range from 1.5 to 1.87. In practice, such zirconium salts are usually not employed by themselves, but as a component of a combined aluminium and zirconium-based antiperspirant.

The above aluminium and zirconium salts may have coordinated and/or bound water in various quantities and/or may be present as polymeric species, mixtures or complexes. In particular, zirconium hydroxy salts often represent a range of salts having various amounts of the hydroxy group. Zirconium aluminium chlorohydrate may be particularly preferred.

Antiperspirant complexes based on the above-mentioned astringent aluminium and/or zirconium salts can be employed. The complex often employs a compound with a carboxylate group, and advantageously this is an amino acid. Examples of suitable amino acids include dl-tryptophan, dl- $\beta$ -phenylalanine, dl-valine, dl-methionine and  $\beta$ -alanine, and preferably glycine which has the formula  $CH_3CH(NH_2)COOH$ .

It is highly desirable to employ complexes of a combination of aluminium halohydrates and zirconium chlorohydrates together with amino acids such as glycine, which are disclosed in US 3,792,068 (Procter and Gamble Co.). Certain of those Al/Zr complexes are commonly called ZAG in the literature. ZAG actives generally contain aluminium, zirconium and chloride with an Al/Zr ratio in a range from 2 to 10, especially 2 to 6, an Al/Cl ratio from 2.1 to 0.9 and

a variable amount of glycine. Actives of this preferred type are available from Westwood, from Summit and from Reheis.

- 5 Other actives that may be utilised include astringent titanium salts, for example those described in GB 2,299,506.

The proportion of solid antiperspirant salt in a composition normally includes the weight of any water of hydration and  
10 any complexing agent that may also be present in the solid active. However, when the active salt is in solution, its weight excludes any water present.

If the composition is in the form of an emulsion the  
15 antiperspirant active will be dissolved in the disperse phase. In this case, the antiperspirant active will often provide from 3 to 60% by weight of the aqueous disperse phase, particularly from 10% or 20% up to 55% or 60% of that phase.

20 Alternatively, the composition may take the form of a suspension in which antiperspirant active in particulate form is suspended in the water-immiscible liquid carrier. Such a composition will probably not have any separate  
25 aqueous phase present and may conveniently be referred to as "substantially anhydrous" although it should be understood that some water may be present bound to the antiperspirant active or as a small amount of solute within the water-immiscible liquid phase. In such compositions, the particle  
30 size of the antiperspirant salts often falls within the range of 0.1 to 200  $\mu\text{m}$  with a mean particle size often from 3 to 20  $\mu\text{m}$ . Both larger and smaller mean particle sizes can also be contemplated such as from 20 to 50  $\mu\text{m}$  or 0.1 to 1  $\mu\text{m}$ .

Additional Components

An additional component that can sometimes augment the efficacy of the composition is a further organic anti-microbial agent. Most of the classes of agents commonly used in the art can be incorporated into compositions of the invention. Levels of incorporation are preferably from 0.01% to 3%, more preferably from 0.03% to 0.5%. Preferred organic anti-microbial agents have a minimum inhibitory concentration (MIC) of  $1 \text{ mg.ml}^{-1}$  or less, particularly  $200 \text{ } \mu\text{g.ml}^{-1}$  or less, and especially  $100 \text{ } \mu\text{g.ml}^{-1}$  or less. The MIC of an anti-microbial agent is the minimum concentration of the agent required to significantly inhibit microbial growth. Inhibition is considered "significant" if an 80% or greater reduction in the growth of an inoculum of a relevant micro-organism is observed, relative to a control medium without an anti-microbial agent, over a period of 16 to 24 hours at  $37^{\circ}\text{C}$ . The "relevant micro-organism" used for testing should be representative of those associated with the substrate to be treated. When the substrate to be treated is human skin, a relevant micro-organism is *Staphylococcus epidermidis*. Other relevant micro-organisms include *Coryneform* spp., *Salmonella* spp., *Escherichia Coli*, and *Pseudomonas* spp., in particular *P. aeruginosa*. Details of suitable methods for determining MICs can be found in "Antimicrobial Agents and Susceptibility Testing", C.Thornsberry, (in "Manual of Clinical Microbiology", 5<sup>th</sup> Edition, Ed. A. Balows et al, American Society for Microbiology, Washington D.C., 1991). A particularly suitable method is the Macrobroth Dilution Method as described in Chapter 110 of above publication (pp. 1101-1111) by D. F. Sahm and J. A. Washington II. MICs of anti-microbials suitable for inclusion in the compositions of the invention are triclosan:  $0.01\text{-}10 \text{ } \mu\text{g.ml}^{-1}$  (J.Regos et al.,

Dermatologica (1979), 158: 72-79) and farnesol: ca. 25  $\mu\text{g}.\text{ml}^{-1}$  (K. Sawano, T. Sato, and R. Hattori, Proceedings of the 17<sup>th</sup> IFSCC International Conference, Yokahama (1992) p.210-232). By contrast ethanol and similar alkanols have MICs of greater than 1  $\text{mg}.\text{ml}^{-1}$ . Preferred organic anti-microbials are bactericides, for example quaternary ammonium compounds, like cetyltrimethylammonium salts; chlorhexidine and salts thereof; and diglycerol monocaprates, diglycerol monolaurate, glycerol monolaurate, and similar materials, as described in "Deodorant Ingredients", S.A.Makin and M.R.Lowry, in "Antiperspirants and Deodorants", Ed. K. Laden (1999, Marcel Dekker, New York). More preferred anti-microbials for use in the compositions of the invention are polyhexamethylene biguanide salts (also known as polyaminopropyl biguanide salts), an example being Cosmocil CQ<sup>TM</sup> available from Zeneca PLC, preferably used at up to 1% and more preferably at 0.03% to 0.3% by weight; 2',4,4'-trichloro,2-hydroxy-diphenyl ether (triclosan), preferably used at up to 1% by weight of the composition and more preferably at 0.05-0.3%; and 3,7,11-trimethyldodeca-2,6,10-trienol (farnesol), preferably used at up to 1% by weight of the composition and more preferably at up to 0.5%.

A carrier fluid is a highly desirable additional component of the compositions of the invention. Such materials act as solvents or carriers for the other components of the composition, facilitating their delivery. Water can be used as a carrier fluid, although it is more preferable to use mixtures of water and an alcohol, especially ethanol. Alcohol/water mixtures are particularly suitable carrier fluids in roll-on and pump spray products. Cyclomethicones and other volatile silicones are another class of carrier fluid that may be employed. Propylene glycol, butylene

glycol, and related glycols may also be used. Other alternative carrier fluids include materials having multiple functions, for example isopropyl myristate, isopropyl palmitate, dipropylene glycol, and glycerol. Mixtures of carrier fluids may also be employed to advantage. Compositions preferably comprise carrier fluid at a level of from 30% to 98% by weight, or more preferably from 60% to 97% by weight, of the non-volatile components of the composition.

Structurants and emulsifiers are further additional components of the compositions of the invention that are highly desirable in certain product forms. Structurants, when employed, are preferably present at from 1% to 30% by weight of the composition, whilst emulsifiers are preferably present at from 0.1% to 10% by weight of the composition. In roll-ons, such materials help control the rate at which product is dispensed by the roll ball. In stick compositions, such materials can form gels or solids from solutions or suspensions of the chelator salt in a carrier fluid. Suitable structurants for use in such compositions of the invention include cellulosic thickeners such as hydroxy propyl cellulose and hydroxy ethyl cellulose, and dibenzylidene sorbitol. Emulsion pump sprays, roll-ons, creams, and gel compositions according to the invention can be formed using a range of oils, waxes, and emulsifiers. Suitable emulsifiers include steareth-2, steareth-20, steareth-21, cetareth-20, glyceryl stearate, cetyl alcohol, cetearyl alcohol, PEG-20 stearate, and dimethicone copolyol. Suspension aerosols, roll-ons, sticks, and creams require structurants to slow sedimentation (in fluid compositions) and to give the desired product consistency to non-fluid compositions. Suitable structurants include sodium stearate, stearyl alcohol, cetyl alcohol, hydrogenated castor oil, synthetic waxes, paraffin waxes, hydroxystearic

acid, dibutyl lauroyl glutamide, alkyl silicone waxes, quaternium-18 bentonite, quaternium-18 hectorite, silica, and propylene carbonate. Some of the above materials also function as suspending agents in certain compositions.

5

Further emulsifiers desirable in certain compositions of the invention are perfume solubilisers and wash-off agents. Examples of the former include PEG-hydrogenated castor oil, available from BASF in the Cremaphor RH and CO ranges, preferably present at up to 1.5% by weight, more preferably 0.3 to 0.7% by weight. Examples of the latter include poly(oxyethylene) ethers.

Certain sensory modifiers are further desirable components in the compositions of the invention. Such materials are preferably used at a level of up to 20% by weight of the composition. Emollients, humectants, volatile oils, non-volatile oils, and particulate solids which impart lubricity are all suitable classes of sensory modifiers. Examples of such materials include cyclomethicone, dimethicone, dimethiconol, isopropyl myristate, isopropyl palmitate, talc, finely-divided silica (eg. Aerosil 200), particulate polyethylene (eg. Acumist B18), polysaccharides, corn starch, C12-C15 alcohol benzoate, PPG-3 myristyl ether, octyl dodecanol, C7-C14 isoparaffins, di-isopropyl adipate, isosorbide laurate, PPG-14 butyl ether, glycerol, hydrogenated polyisobutene, polydecene, titanium dioxide, phenyl trimethicone, dioctyl adipate, and hexamethyl disiloxane.

30

Fragrance is also a desirable additional component in the compositions of the invention. Suitable materials include conventional perfumes, such as perfume oils and also include so-called deo-perfumes, as described in EP 545,556 and other publications. Levels of incorporation are preferably up to

35

4% by weight, particularly from 0.1% to 2% by weight, and especially from 0.7% to 1.7% by weight.

It should be noted that certain components of compositions perform more than one function. Such components are particularly preferred additional ingredients, their use often saving both money and formulation space. Examples of such components include ethanol, isopropyl myristate, and the many components that can act as both structurants and sensory modifiers, for example silica.

Further additional components that may also be included are colourants and preservatives at a conventional concentration, for example C<sub>1</sub>-C<sub>3</sub> alkyl parabens.

#### 15 Product Forms

The compositions of the invention may take any form. Examples include wax-based sticks, soap-based sticks, compressed powder sticks, roll-on suspensions or solutions, emulsions, gels, creams, squeeze sprays, pump sprays, and aerosols. Each product form contains its own selection of additional components, some essential and some optional. The types of components typical for each of the above product forms may be incorporated in the corresponding compositions of the invention. However, gel-solid stick compositions gelled by the following gelling agents are not claimed: 12-hydroxystearic acid, esters of 12-hydroxystearic acid, amides of 12-hydroxystearic acid, N-lauroyl-glutamic acid dibutyl amide, and 2-dodecyl-N,N'-dibutyl-succinamide.

30 Particular embodiments of the invention comprise liquid and soft solid compositions. The former compositions may be defined by their ability to flow, whilst the latter compositions may be defined by their lack of hardness,



having a hardness less than the lesser of 75 grams of force, as measured by the technique described in US 5,516,511 (Procter and Gamble), or 500 grams of force, as measured by the technique described in US 5,849,276 (Procter and Gamble). Hence, particular embodiments of the invention comprise liquid and soft solid compositions having a hardness such that the pressure required to penetrate the composition is less than  $0.06 \text{ N.mm}^{-2}$ .

- 10 The various product forms of the invention each have additional components that are desirably present. Roll-on compositions of the invention preferably have a low level of non-volatile emollient present, for example isopropyl myristate or propylene glycol at 0.2-2% by weight.
- 15 Antiperspirant sticks have cyclomethicone as the most preferred carrier fluid. Also preferably present are one or more ethers or esters previously mentioned as sensory modifiers; these materials can serve to mask deposits. Wash-off agents are also desirable in such compositions.

20

#### Aerosol Compositions

- Aerosol compositions of the invention are a particularly preferred product form. Preferably the propellant is the major component in such compositions, comprising from 30 to 99 parts by weight, more preferably from 50 to 95 parts by weight.

- The propellant is normally selected from liquified hydrocarbons or halogenated hydrocarbon gases (particularly fluorinated hydrocarbons such as 1,1-difluoroethane and/or 1-trifluoro-2-fluoroethane) that have a boiling point of below  $10^{\circ}\text{C}$  and especially those with a boiling point below  $0^{\circ}\text{C}$ . It is especially preferred to employ liquified hydrocarbon gases, and especially  $\text{C}_3$  to  $\text{C}_6$  hydrocarbons,

including propane, isopropane, butane, isobutane, pentane and isopentane and mixtures of two or more thereof.

Preferred propellants are isobutane, isobutane/isopropane, isobutane/propane and mixtures of isopropane, isobutane and butane.

Other propellants that can be contemplated include alkyl ethers, such as dimethyl ether or compressed non-reactive gasses such air, nitrogen or carbon dioxide.

The base composition, which is mixed with the propellant, may comprise any of the following components as preferred additional ingredients: a carrier fluid, a fragrance, an emollient (eg. isopropyl myristate or propylene glycol) or an anticlogging agent (in order to prevent or minimise the occurrence of solid occlusions in the spray nozzle).

Further components may be added to mask powdery deposits, for example non-volatile oils, long chain alcohols (eg. octyl dodecanol), ethers (eg. PPG-14 butyl ether), or dimethicone fluids.

The aerosol composition is usually filled into an aerosol canister that is capable of withstanding pressures generated by the formulation, employing conventional filling apparatus and conditions. The canister can conveniently be a metal canister commercially available fitted with a dip tube, valve and spray nozzle through which the formulation is dispensed.

### Methods of Manufacture

The details of the relevant methods of manufacture depend upon the product form concerned. The basic method comprises the mixing of an antiperspirant active with a transition metal chelator, without the production of a gel stick

composition resulting from the introduction of a gelling agent selected from the group consisting of 12-hydroxystearic acid, esters of 12-hydroxystearic acid, amides of 12-hydroxystearic acid, N-lauroyl-glutamic acid dibutyl amide, 2-dodecyl-N.N'-dibutyl-succinamide, and mixtures thereof.

#### Examples

(Note that "letter" codes refer to Comparative Examples.)

10

#### Preparation of Aerosol Antiperspirant Deodorants

Example 1 (see Table 1B) was prepared in the following manner. 0.54 g of quaternium-18-hectorite was gradually added to 5.50 g of volatile silicone fluid (DC 245, ex. Dow Corning), whilst shearing at a speed of ca. 8000 rpm on a Silverson L4RT mixer (ex. Silverson, Chesham, Bucks.). After approximately 10 minutes, 0.18 g of propylene carbonate was added dropwise to the mixture. After a further 5 minutes of mixing at 8000 rpm, the mixture was removed from the mixer and 0.89 g of DTPA was slowly stirred in. The resulting liquid was mixed for a further 5 minutes and then sealed into a tin plate can, having valve access, and 77.66 g of liquified propellant (CAP 40, ex Calor) was introduced into the can from a propellant 'transfer can', via the valve, using a polyethylene transfer device. Finally, the can was fitted with a suitable actuator to enable effective spray application of the product.

30 Example 2 (see Table 1B) was prepared in a similar manner to Example 1, with the addition of poly(hexamethylenebiguanide) stearate (PHMBS, as described in WO98/56252 [Unilever PLC and NV]) (previously passed through a 45 um sieve) at the same time as the DTPA.

Comparative Examples A, B, and C (see Tables 1A and 1B) were prepared in a similar manner to Examples 1 and 2, varying the compositions as indicated.

## 5 Deodorancy Tests

The deodorancy performance of the compositions detailed below were assessed using the following protocol:

- 10 A panel was employed comprising 50 individuals who had been instructed to use control ethanolic deodorant products during the week prior to the test. At the start of the test, panellists were washed with unfragranced soap and test product (1.8 g total weight) applied to one axilla and
- 15 control product applied to the other (1.8g total weight). (Product application was randomised to take into account any left/right bias). Panellists were instructed not to consume spicy food or alcohol, and not to wash under their own axillae, during the duration of the test. A minimum of
- 20 three expert assessors determined the intensity of axillary odour at 5 hours and 24 hours after application, scoring the intensity on a scale of 1-5. After each 24 hour assessment, the panellists were re-washed, and products re-applied, as above. The procedure was repeated 4 times. At the end of
- 25 the test the data were analysed using standard statistical techniques. The compositions tested and the mean malodour scores observed are detailed in the following Tables.

Table 1A: Antiperspirant vs. Antiperspirant + PHMBS<sup>1</sup>

Component		Example A	Example B
AACH <sup>2</sup>		5	5
DC245 <sup>3</sup>		7.3	7.257
Bentone 38V <sup>4</sup>		0.5	0.5
Propylene carbonate <sup>5</sup>		0.2	0.2
PHMBS <sup>1</sup>		0	0.043
CAP40 <sup>6</sup>		87	87
Mean malodour intensity <sup>7</sup>	5 hour	1.83	1.91
	24 hour	1.89	1.96

All components are expressed as weight per cent of the total composition.

5

1. Poly(hexamethylenebiguanide) stearate.

2. Activated aluminium chlorohydrate, type A296, ex. Guilini.

3. Volatile silicone, ex. Dow Corning.

4. Structurant, quaternium-18-hectorite, ex. Rheox.

10 5. Co-structurant.

6. Propellant, proprietary mix of butane, isobutane and propane, Ex. Calor.

7. Differences in values not significant at the 95% level.

15 (Minimum differences required for significance at the 95% and 99% confidence levels were:

after 5 hours: 0.09 for 95% level; 0.12 for 99% level;

after 24 hours: 0.10 for 95% level; 0.13 for 99% level.)

20 The results in Table 1A indicate that the addition of 0.043% PHMBS anti-microbial to 5% AACH antiperspirant does not lead to an improvement in the deodorancy performance.

Table 1B: Effect of Added Chelator

Component		Example C	Example 1	Example 2
AACH		5	5	5
DC245		7.2	6.2	6.16
Bentone 38V		0.6	0.6	0.6
Propylene carbonate		0.2	0.2	0.2
DTPA <sup>1</sup>		0	1.0	1.0
PHMBS		0	0	0.043
CAP40		87	87	87
Mean malodour intensity <sup>2</sup>	5 hour	1.84	1.73	1.67
	24 hour	2.05	1.90	1.88

All components are expressed as weight per cent of the total composition.

1. Diethylenetriaminepentaacetic acid.
2. The difference in mean malodour intensities between examples C and 2 was significant at the 99% level after 5 hours. After 24 hours, the differences between C and 1 and between C and 2 were both significant at the 99% level. (Minimum differences required for significance at the 95% and 99% confidence levels were:  
after 5 hours: 0.12 for 95% level; 0.16 for 99% level;  
after 24 hours: 0.12 for 95% level; 0.15 for 99% level.)

The results in Table 1B indicate that the addition of 1% DTPA chelator to 5% AACH antiperspirant leads to a significant improvement in the deodorancy performance. In the presence of 0.043% additional anti-microbial (PHMBS) the difference is significant after 5 hours, as well as after 24 hours. These latter results are in marked contrast to the

effect of added PHMBS in the absence of chelator (Table 1A), where no benefit is observed.

5 The benefits observed after 24 hours indicate that prolonged maintenance of malodour reduction results from the use of the compositions of the invention; this is a direct result of the prolonged anti-microbial activity of the compositions.

Claims

1. An anti-microbial product comprising an antiperspirant active and a transition metal chelator, excluding  
5 antiperspirant gel stick compositions gelled by a gelling agent selected from the group consisting of 12-hydroxystearic acid, esters of 12-hydroxystearic acid, amides of 12-hydroxystearic acid, N-lauroyl-glutamic acid dibutyl amide, and 2-dodecyl-N.N'-dibutyl-  
10 succinamide.
2. An anti-microbial product according to claim 1, characterised in that the antiperspirant active and the transition metal chelator are both present in the same  
15 composition.
3. An anti-microbial product according to claim 1 or 2, comprising a liquid or soft solid composition.
- 20 4. An anti-microbial product according to claim 3, comprising a liquid or soft solid composition having a hardness such that the pressure required to penetrate the composition is less than  $0.06 \text{ N.mm}^{-2}$ .
- 25 5. An anti-microbial product according to any preceding claim, wherein the antiperspirant active is an aluminium, zinc, or zirconium astringent salt.
6. An anti-microbial product according to any preceding  
30 claim, wherein the iron (III) chelator has a binding coefficient for iron (III) of greater than  $10^{26}$ .



7. An anti-microbial product according to any preceding claim, wherein the iron (III) chelator is a polyaminocarboxylic acid or salt thereof.
- 5 8. An anti-microbial product according to any preceding claim, also comprising an additional organic anti-microbial agent.
9. A method of controlling microbial numbers, said method  
10 comprising the application to a substrate of a product according to any of the preceding claims.
10. A cosmetic method of reducing perspiration and  
providing additional control of bacterial numbers on a  
15 human body surface, said method comprising the topical application to the human body of any of the products according to any one of claims 1 to 8.
11. A cosmetic method according to claim 10, resulting in  
20 reduced body odour.
12. A method for the manufacture of an anti-microbial composition comprising the mixing of an antiperspirant active with a transition metal chelator, without the  
25 production of a gel stick composition resulting from the introduction of a gelling agent selected from the group consisting of 12-hydroxystearic acid, esters of 12-hydroxystearic acid, amides of 12-hydroxystearic acid, N-lauroyl-glutamic acid dibutyl amide, 2-dodecyl-N.N'-dibutyl-succinamide, and mixtures thereof.  
30

